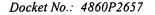
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APENIE TO A A L			Application No.	09/8	09/881,986			
TRANSMITTAL FORM (to be used for all correspondence after initial filing)				Filing Date	June	June 14, 2001		
				First Named Inventor	Jero	Jerome R. Bellegarda		
			Art Unit	2152				
				Examiner Name	Chad Zhong			
Total Number of F	Pages i	n This Submission	on 7	Attorney Docket Number	4860	DP2657		
ENCLOSURES (check all that apply)								
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Fee Attac	Fee Attached			Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	Amendment / Response					Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)			Petition to 0 Provisional	Convert a Application		Proprietary Information		
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Express Abandonment Request			Terminal D	isclaimer		Other Enclosure(s) (please identify below):		
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RANGE the Application of:

JEROME R. BELLEGARDA, ET AL.

Application No.: 09/881,986

Filed: June 14, 2001

For: Method And Apparatus For Filtering

Email

Art Group: 2152

Examiner: Chad Zhong

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Respectfully submitted,

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12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (408) 720-8300

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of Sheet 1 1

Complete if Known					
Application Number	09/881,986				
Filing Date	June 14, 2001				
First Named Inventor	Jerome R. Bellegarda				
Art Unit	2152				
Examiner Name	Chad Zhong				
Attorney Docket Number	4860P2657				

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		ELISABETH CRAWFORD, Automatic Induction of Rules for E-Mail Classification. Proceedings of the Sixth Australian Document Computing Symposium, Coffs Harbour, Australia, December 7, 2001.				
		RICHARD B. SEGAL and JEFFREY O. KEPHART, MailCat: An Intelligent Assistant for Organizing E-Mail. Proceedings of the Third Internation Conference on Autonomous Agents, pages 276-282, Seattle, WA, 1999.				
• •		MEHRAN SAHAMI, et al, A Bayesian Approach to Filtering Junk E-Mail. In AAAI-98 Workshop on Learning for Text Categorization, 1998.				
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